

Books and Records Location

The official books and records of:

LEGAL NAME: _____

CRD #: _____

are kept at the following location:

Addr1: _____

Addr2: _____

City: _____

State: _____ Zip: _____

The undersigned hereby agrees to furnish to the commissioner, within 14 days of a request and at its own expense, true, correct, complete, and current copies of any or all of the books and records which it is required to make, keep current, maintain, or preserve under IC 23-19-1. The copies shall be furnished to the commissioner at his or her office in Indianapolis, Indiana, or at any other place within the State of Indiana which may be specified in said written demand.

This undertaking shall be suspended during any period when the undersigned is making, keeping current, and preserving copies of all said books and records at a place within the State of Indiana. This undertaking shall be binding upon the undersigned and the heirs, successors, and assigns of the undersigned, and the written irrevocable consents and powers of attorney of the undersigned, its general partners, and managing agents filed with the commissioner, shall extend to and cover any action to enforce the same.

Signed: _____ Date: ____/____/____

Title: _____

Please sign, scan and email (preferred) or mail to
Indiana Securities Division
Attn: Doreen Fuery
IGCS Room E-111
302 W. Washington Street
Indianapolis, IN 46204
e-mail: dfuery@sos.in.gov